

No: .../NQ-DH/DFVN-CAF/2026

HCM city, dated ..... 2026

**DRAFT**

**RESOLUTION**  
**OF THE ANNUAL GENERAL INVESTORS MEETING FISCAL YEAR 2025 -**  
**DFVN CAPITAL APPRECIATION FUND (DFVN-CAF)**

- Pursuant to Law on Securities No. 54/2019/QH14 passed by the National Assembly of the Socialist Republic of Vietnam dated 26<sup>th</sup> November 2019 and Law No. 56/2024/QH15 of the National Assembly of the Socialist Republic of Vietnam dated 29<sup>th</sup> November 2024, amend Law on Securities;
- Pursuant to Circular No. 99/2020/TT-BTC of the Ministry of Finance issued on 16<sup>th</sup> November 2020 guiding the operation of securities investment fund management companies;
- Pursuant to Circular No. 98/2020/TT-BTC of the Ministry of Finance issued on 16<sup>th</sup> November 2020 guiding the operation and management of securities investment fund (“Circular 98/2020/TT-BTC”);
- Pursuant to Circular No.136/2025/TT-BTC of the Ministry of Finance issued on 29<sup>th</sup> December 2025 amending, supplementing some articles of Circular 98/2020/TT-BTC;
- Pursuant to the organization and operation Fund Charter of the DFVN-CAF;
- Pursuant to the Certificate of registration for public fund’s incorporation of the DFVN-CAF No. 34/GCN-UBCK issued by the State Securities Commission on 03<sup>rd</sup> January 2019;
- Pursuant to the Meeting Minutes of the DFVN-CAF dated ..... 2026.

**RESOLVED**

**The General Investors Meeting passed Resolution with the following contents:**

**Article 1:** Approve the 2025 audited Financial Statements of the DFVN-CAF<sup>1</sup>.

**Article 2:** Approve the 2026 budget plan for the operation of the Fund Representative Board of the DFVN-CAF.

- ❖ Approve the remuneration of the Fund Representatvie Board is VND 5,000,000/month/person;
- ❖ Approve the total of 2026 remuneration of the members of the Fund Representative Board of the DFVN-CAF is VND 180,000,000.

<sup>1</sup> Published on the Company’s website, the link <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf/>

**Article 3:** Approve PWC (Viet Nam) Ltd. is the auditing company to perform the review of interim financial statements and the audit of financial statements for the year 2026 for DFVN-CAF.

**Article 4:** Approve amendments, supplement of the DFVN-CAF Charter, as attached.

**Article 5:** Effectiveness of the Resolution.

This Resolution shall take effect from the signing date. Dai-ichi Life Vietnam Fund Management Company Ltd. (DFVN), the Fund Representative Board and related divisions shall be responsible for implementing this Resolution./.

On behalf of the General Investors Meeting of the DFVN Capital Appreciation Fund (DFVN-CAF).

**Bank ....**  
*(Signature)*

**Dai-ichi Life Vietnam Fund  
Management Company Ltd.**  
*(Signature)*

**Fund Representative Board**  
*(Signature)*